



Ifw/2627

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: Shigeto UENO, et al. Art Unit: 2627  
Appln. No.: 10/501,022 Examiner: C. Renner  
Filed: July 9, 2004 Conf. No. 3051  
For: DISK DRIVE

CERTIFICATION UNDER 37 CFR §1.97(e)(1)

Assistant Commissioner of Patents  
Washington, DC 20231

Dear Sir:

In fulfillment of 37 CFR 1.97(c)(1) and 1.97(e)(1), it is hereby certified that each item of information contained in the attached Information Disclosure Statement was first cited in any communication (see the attached copy of a European Search Report dated February 26, 2007) from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

James E. Ledbetter  
Registration No. 28,732

Date: March 20, 2007

JEL/jpf

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
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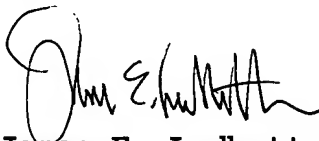
Dear Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the documents listed on the attached Form PTO 1449. Attached is a European Search Report dated February 26, 2007. JP '716 cited therein was filed in the Information Disclosure Statement of December 15, 2004.

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106. Applicants respectfully request that this art be expressly considered during the prosecution of this application and made of record herein and

appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,



James E. Ledbetter  
Registration No. 28,732

Date: March 20, 2007

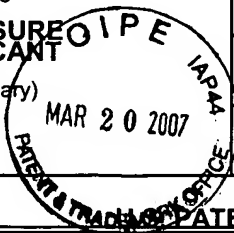
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FORM PTO-1449 U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark Office

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)



ATTY. DOCKET NO.

L8462.04121

SERIAL NO.

10/501,022

APPLICANT

Shigeto UENO, et al.

FILING DATE

July 9, 2004

GROUP

2627

## PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2001 0 0 1 2 2 5 4	08/2001	Lee			
	5 9 1 4 9 2 9	06/1999	Kato et al.			
	6 2 8 5 6 4 3	09/2001	Arai			
	5 1 6 4 9 3 4	11/1992	Kase et al.			
	5 5 8 6 1 0 4	12/1996	Choi			
	5 9 3 3 4 0 0	08/1999	Kabasawa			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO
1 1 0 6 6 7 1 6	03/1999	JP			
0 1 5 7 8 6 5	08/2001	WO			
2000 2 8 5 5 6 1	10/2000	JP			Abstract & Transl
0 7 6 4 9 4 7	03/1997	EP			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

European Search Report dated February 26, 2007.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.